

## **SUBSTANCE TESTING DEVICES WITH PHOTO IDENTIFICATION**

### **Field of the Invention**

The present invention relates generally to ignition interlock devices, such as are used in vehicles of drivers with DUI convictions (who, e.g., are endowed with special driving privileges and are in that connection under supervision by a probation officer or the state as a condition of such privileges).

### **Background of the Invention**

Essentially, an ignition interlock is a device that includes a breathalyzer which prohibits a vehicle from starting if the breathalyzer returns a reading of "under the influence" (i.e., corresponding to a statutory level of impairment or even a lower level of impairment prescribed by the manufacturer). Several courts throughout the U.S. are now requiring that interlock devices be placed in vehicles operated by individuals convicted of DUI offenses. The "LIFESAFTER" (TM) ignition interlock system of the LifeSafer Interlock company (Cincinnati, Ohio) is a well-known conventional example. In it, a buzz tone is emitted during a breathalyzer test to indicate when a deep breath sample containing alveolar air has been provided and, thus, is sufficient to be analyzed.

It has been found that a major drawback of conventional interlock devices is that they might not be effective in deterring DUI offenses if an individual other than the defendant (i.e., the person who has been assigned the interlock device) actually operates the interlock. For instance, a defendant who is once again "under the influence" still  
5 might be able to operate the vehicle by asking his/her friend or spouse to supply a "clean" breath sample that will unlock the ignition.

A general solution that has been sought to eradicate this "loophole" has been to require an original "breath pattern" from the defendant against which subsequent attempts to unlock the ignition are compared; this helps thwart the occurrence of non-genuine  
10 breath samples. Examples of such an arrangement is disclosed in U.S. Patent Nos. 4,738,333, 4,912,458 and 4,901,058. However, this solution has been found to present numerous difficulties and drawbacks. For instance, accuracy in comparing "breath patterns" can be highly elusive.

U.S. Patent No. 6,229,908 discloses an ignition interlock system in which an  
15 individual's fingerprint may be used to verify his/her identity and blood-alcohol content is determined using spectroscopic analysis of the finger from which the fingerprint is obtained. Aside from cost considerations, a major drawback to such a device is that for purposes of motor vehicle operation, state statutes specify the manner in which blood-

alcohol content is to be determined and spectroscopic analysis is generally not so  
specified. Rather, the use of a breathalyzer is typically called for. It would not be  
sufficient to use fingerprint authentication for interlock devices, because as discussed  
above, the defendant may provide the authentication while someone else supplies a  
5 “clean” breath.

A need has therefore been recognized in connection with providing an effective,  
foolproof ignition interlock device that precludes loopholes of the type described above.

### **Summary of the Invention**

In accordance with at least one presently preferred embodiment of the present  
10 invention, it is contemplated that a system be provided that obtains a photographic image  
of an individual attempting to disengage an ignition interlock device. Preferably, the  
photographic image is obtained simultaneously with a breath being blown into the  
breathalyzer device. Response of the breathalyzer device may preferably be recorded by  
time and date along with the obtained image. On a periodic basis, photographed images  
15 can then be reviewed during routine maintenance, in order to adequately ascertain  
whether the operator of the vehicle (or other machinery) in each instance indeed  
corresponded to the individual for whom the interlock device was intended.

In summary, the present invention provides, in one aspect, an apparatus for identifying one or more individuals impaired by a controlled substance, the apparatus comprising: a detection device being adapted to ascertain the degree to which an individual is affected by a controlled substance; and a photographic unit; the  
5 photographic unit being operable, responsive to the detection device, to facilitate photographic identification of an individual tested by the detection device who is affected by a controlled substance beyond a predetermined threshold level.

In another aspect, the present invention provides a method of identifying one or more individuals impaired by a controlled substance, the method comprising the steps of:  
10 providing a photographic unit; ascertaining the degree to which an individual is affected by a controlled substance; and operating the photographic unit, responsive to the ascertaining step, to facilitate photographic identification of an individual who is affected by a controlled substance beyond a predetermined threshold level.

For a better understanding of the present invention, together with other and further  
15 features and advantages thereof, reference is made to the following description, taken in conjunction with the accompanying drawings, and the scope of the invention will be pointed out in the appended claims.

### **Brief Description of the Drawings**

Fig. 1 schematically illustrates an ignition interlock device with photo identification.

### **Description of the Preferred Embodiments**

5 Fig. 1 schematically illustrates a motor vehicle 100 or other machinery in which an ignition interlock device, in accordance with at least one embodiment of the present invention, may be employed.

An ignition 102 of the vehicle 100 (or other machinery) may be engageable via interlock device 104. Interlock device 104 preferably includes a breathalyzer 106. A  
10 photographic unit 108, on the other hand, preferably includes a photographic apparatus 110 and image storage arrangement 112.

Preferably, an individual (who is likely a defendant required to use an ignition interlock device), when wishing to start the ignition 102, will take a test with breathalyzer 106 in conventional manner (e.g. via emitting a sufficient blow of his/her breath into the  
15 equipment). If the test determines a state of "under the influence" then, per usual, the interlock device 104 will engage to prevent activation of ignition 102 and, thus, operation of the vehicle 100 or other machinery. Preferably, breathalyzer 106 will also include an

arrangement (as conventionally known) for determining whether a breath sample provided by the operator is suitable for testing in the first place. As discussed below, this arrangement will preferably serve to provide an activation prompt to photographic unit 108. Thus, in accordance with a preferred embodiment of the present invention, the

- 5    activation of photographic unit 108 will immediately prompt photographic apparatus 110 to take a picture of the individual who is in the process of taking a breathalyzer test.

Thus, the photographic apparatus 110 will preferably be mounted in such a manner as to obtain a good view of an individual in the position at which the breathalyzer test is being taken (e.g. it could be mounted inside an automobile and aimed towards the driver's seat).

- 10   The image storage arrangement 112, preferably integral with the photographic apparatus 110, will preferably be suitable for storing the obtained photographic image until such a time that it and other stored images are reviewed to determine whether the image of the photographed individual corresponds to the individual for whom the ignition interlock device is intended. In other words, for example, photographed images (preferably those
- 15   corresponding to a "failure" condition, or an impaired state of one or more individuals) can be reviewed on a periodic basis during routine maintenance, in order to adequately ascertain whether the operator of the vehicle (or other machinery) in each instance indeed corresponded to the individual for whom the interlock device was intended.

Conceivably, when a "failure" state is indicated for a particular incident, a court may be

notified. It should well be appreciated that by having an image of an individual who was being tested at the time of a "failure", a court would have the ability to confidently enforce violations of probation orders (e.g., by preventing a defendant from asserting that someone else was being tested at that point in time).

5           As discussed above, interlock device 104 will preferably provide a prompt in order for photographic unit 108 to be activated. Although such a prompt may take any of a large number of conceivable forms, it is presently contemplated in accordance with an embodiment of the present invention that the prompt be an audible prompt (wherein the photographic unit 108 would include an appropriate audio receptor, not shown, that is  
10       suitable for detecting the audible prompt and thence activating the photographic apparatus 110). In a further refinement, such an audible prompt could be similar to the buzz tone associated with the aforementioned "LIFESAFER" (TM) interlock device, whereby the buzz tone would serve to activate the photographic unit 108.

          In accordance with a preferred embodiment of the present invention, the  
15       photographic apparatus 110 and image storage arrangement 112 are integrated into a single, cohesive unit (e.g. in a common housing). The "SILENT WITNESS" (TM) camera manufactured by Silent Witness Enterprises Ltd., Surrey, British Columbia,

Canada, represents a highly favorable arrangement that could function as just described, that is, which includes both a camera and an image storage arrangement.

Although in a presently preferred embodiment of the present invention it is contemplated that an ignition interlock device be employed in an automobile or other motor vehicle, it is conceivable to employ the interlock device in other settings. For instance, the machinery 100 illustrated in Fig. 1 could be in the form of machinery at a factory or plant (e.g. a printing press, lathe machinery, etc.) that would only be operable upon a worker taking a breathalyzer test and being positively identified via a photographic apparatus, in a manner similar to that discussed heretofore.

Several advantages are apparent in connection with an ignition interlock device in accordance with at least one embodiment of the present invention. Employers may find such a device highly desirable as it may accord protection from liability in situations where there might otherwise be an accident as a result of a worker who is intoxicated (or otherwise affected by a controlled substance beyond a predetermined threshold level) on the job. Settings where this may be useful could include, for example, public transportation companies (where, for instance, bus or trolley drivers would undergo the breathalyzer test prior to operating a vehicle), trucking companies, and even in manufacturing environments, as described above, in which heavy or complex machinery



is operated and in which significant dangers are presented if an operator is otherwise intoxicated (or otherwise affected by a controlled substance beyond a predetermined threshold level).

Another potential employment setting would be a timeclock apparatus. In this  
5 scenario, photographic images would be obtained of employees, "punching in" to a timeclock, who are impaired by a controlled substance. Any employee so impaired would thus be deterred from having another individual punch the timeclock in his/her place. Such an embodiment would appear to be appropriate in instances, e.g., in which an employer may wish to bar an employee, who is impaired by a given controlled substance  
10 beyond a given threshold level, from working that day (or after lunch, when an employee may have had access to alcohol), regardless of whether or not the employee is to operate machinery.

It is believed that the inclusion of a photographic apparatus in an ignition  
interlock device in a motor vehicle or other machinery will provide an additional  
15 deterrence factor that may help reduce the likelihood of drunk driving (or impaired machine operation) even further. Particularly, the mere presence of the photographic apparatus, through profound psychological effect, may well provide a significant impetus

for an individual to avoid even contemplating any type of loophole for circumventing the interlock.

Although the use of photographic apparatus and image identification has been utilized in "home arrest" settings, it is believed that the presently contemplated use of photographic apparatus and positive identification in connection with the operation of motor vehicles or other machinery has never been contemplated. In a "home arrest" setting, an individual who is confined to the home for part or all of the day and who wears a tracking device, such as an ankle bracelet, to deter unwarranted flight, may be required to "check in" at a breathalyzer device in the home at predetermined times, or even at random times, throughout the day in order to impart a standard of behavior modification. Among many other possibilities, a visual image of the individual may then be transmitted over a communications link to present a visual image of the prisoner, e.g., at a police station or monitoring service. However, the "home arrest" arrangement just described does not serve to prevent or allow the operation of machinery. (An example of such a "home arrest" arrangement may be found in U.S. Patent No. 4,843,377.)

Though the disclosure heretofore has largely focused on contexts in which alcohol consumption is consumed, it should be understood that the embodiments of the present invention are applicable to other types of controlled substances as well, such as, for

example, a narcotic drug (e.g., cocaine, heroin or marijuana). In such cases, appropriate detection equipment would be interfaced with an interlock in a vehicle or other machinery and could preferably operate in substantially similar manner as the inventive equipment described heretofore in connection with alcohol.

5           Though the disclosure heretofore has largely contemplated the use of photographic apparatus to record "still" shots of an individual, such as a digital camera that takes "snapshots", it should be understood that essentially any type of photographic equipment, configured for producing essentially any type of still or moving record of an individual, could be used, such as a VHS camera or camcorder.

10           If not otherwise stated herein, it is to be assumed that all patents, patent applications, patent publications and other publications (including web-based publications) mentioned and cited herein are hereby fully incorporated by reference herein as if set forth in their entirety herein.

15           Although illustrative embodiments of the present invention have been described herein with reference to the accompanying drawings, it is to be understood that the invention is not limited to those precise embodiments, and that various other changes and modifications may be affected therein by one skilled in the art without departing from the scope or spirit of the invention.